

Account Transfer Form

Account Number H W E - 0 2 3 8 2 7

FOR PROFESSIONAL USE ONLY

Contact

Account Transfers (888) 367-2563

Send to

Pershing LLC
One Pershing Plaza
Jersey City
New Jersey 07399

Tax Identification Number

13-2741729

Checks*

Rollovers	All Other Transfers
Overnight Mail Pershing LLC Rollover Processing Attn: 382084 500 Ross Street 154-0455 Pittsburgh, PA 15262-0001	Overnight Mail Pershing LLC Transfer Processing Attn: 382091 500 Ross Street 154-0455 Pittsburgh, PA 15262-0001
Regular Mail Pershing LLC Rollover Processing PO Box 382084 Pittsburgh, PA 15250-8084	Regular Mail Pershing LLC Transfer Processing PO Box 382091 Pittsburgh, PA 15250-8091

Certificates*

Send certificates to the attention of Department S, Pershing LLC, One Pershing Plaza, Jersey City, New Jersey 07399

Limited Partnerships Documents*

Send limited partnership documents to the Non-ACAT Department, Pershing LLC, One Pershing Plaza, Jersey City, New Jersey 07399

Cost Basis*

Please deliver through the DTCC Cost Basis Reporting Service (CBRS), firm account 00000443 CBRS account 0000A00C. For Non CBRS firms please mail to Pershing LLC, One Pershing Plaza, Jersey City NJ 07399. Attention Cost Basis department or fax to (866) 355-5620.

Depository Trust and Clearing Corporation (DTCC) and Eligible Securities and Options*

Instruct DTCC eligible securities and the Options Clearing Corporation eligible options to clearing broker number 0443.

Federal Entry Securities*

Instruct federal entry securities, for instance, Treasury notes, to BK OF NYC/PERSHING, ABA number 021000018.

Federal Fund Wires (cash)*

To transfer federal funds to Pershing, your clients must provide the following wire instructions to the financial organization that will be transmitting the funds on their behalf:

Bank: The Bank of New York

ABA Number: 021000018

Beneficiary: Pershing LLC

Beneficiary Account Number: 890-051238-5

Ultimate Beneficiary: Client's Brokerage Account Name

Ultimate Beneficiary Account Number: Client's Brokerage Account Number (for instance, 123-456789-1)

If any of the above information is missing, there may be a delay in crediting the account or the funds may be returned to the sender. With regard to incoming federal fund wires for retirement accounts for which Pershing LLC is the custodian or servicing agent, please notify Pershing via Service Center in NetExchange Pro® (Retirement Processing category, Contributions request), on any contribution-related deposits to ensure that the funds are coded properly for tax reporting.

Foreign Securities*

Send all foreign securities to the Non-ACAT Department to setup instructions to receive the securities using Euroclear number 92146.

Reject Letters

Send all reject letters to the Non-ACAT Department.

**Include Pershing account number on all documentation.*